Markov-Dubins in a NPC cube complex

Julie Carmela La Corte

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The Markov-Dubins problem with free terminal direction in a nonpositively curved cube complex

Julie Carmela La Corte

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April 2, 2015

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Markov-Dubins problem with free terminal direction

Find the shortest path between two points u, v in a space X, given a prescribed initial direction U and prescribed minimal turning radius R > 0.

■ (Markov, 1889): Formulated the problem with $X = \mathbb{R}^2$ in a little-known paper

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Markov-Dubins problem with free terminal direction

Find the shortest path between two points u, v in a space X, given a prescribed initial direction U and prescribed minimal turning radius R > 0.

- (Markov, 1889): Formulated the problem with $X = \mathbb{R}^2$ in a little-known paper
- Practical application: How can an existing length of railroad track be joined to a given destination, using as little new track as possible?



- Initial heading and position fixed; direction at the destination is not specified
- Minimal turning radius was needed to prevent derailment
- Problem seems to have been largely forgotten until the 1950s

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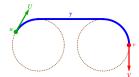
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Markov-Dubins problem with free terminal direction

Find the shortest path between two points u, v in a space X, given a prescribed initial direction U and prescribed minimal turning radius R > 0.

- (Dubins, 1957): Solves the problem with prescribed initial and terminal direction, $X = \mathbb{R}^2$
- Finds that a shortest piecewise twice-differentiable solution always exists
- Length-minimizer is made up of at most three subarcs, each an arc of a circle of radius R or a line segment



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Markov-Dubins problem with free terminal direction

Find the shortest path between two points u, v in a space X, given a prescribed initial direction U and prescribed minimal turning radius R > 0.

- (1960s–2000s): Other variations studied in robotics, game theory, differential geometry, avionics
 - Variations all take X to be a Riemannian manifold, usually of dimension 2 or 3
- For us, *X* will be a nonpositively curved cube complex
 - More practical than it may appear...

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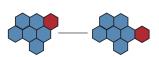
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Markov-Dubins problem with free terminal direction

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- For us, *X* will be a nonpositively curved cube complex
 - (Ghrist, 2002): Applied comparison geometry to reconfiguration problems for metamorphic robots (aggregates capable of changing shape through the independent motion of their constituent cells)





Two metamorphic systems composed of hexagonal cells. A cell on the boundary of the aggregate may pivot if unobstructed (LEFT).

Figures from Ghrist and Peterson, "The geometry and topology of reconfiguration" (2007)

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 - (Ghrist and Peterson, 2007): Uses theoretical framework of 2002 paper to describe a wide range of dynamical systems
 - Articulated robotic limb



The robotic arm of Ghrist and Peterson.

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 - Protein folding



Model of a protein chain as a piecewise-linear chain in a cubical lattice.

Figure from Ghrist and Peterson, "The geometry and topology of reconfiguration" (2007)

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Find the shortest path between two points u, v in a space X, given a prescribed initial direction U and prescribed minimal turning radius R > 0.

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 - (Ghrist and Peterson, 2007): Uses theoretical framework of 2002 paper to describe a wide range of dynamical systems
 - Articulated robotic limb
 - Protein folding
 - Industrial track robots



Robots moving along tracks in a factory floor.

Example of a reconfigurable system

Markov-Dubins in a NPC cube complex

Reconfigurable

Reconfiguration problem

Move the robots from their given current positions to prescribed new positions in as short a time as possible while avoiding collisions.

Two robots moving along tracks on a factory floor

Graph-theoretic formulation of the reconfiguration problem

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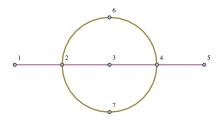
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Graph-theoretic formulation of the reconfiguration problem

Discretize the two tracks, subdividing each into finitely many edges. The result is the **workspace graph** \mathcal{W} .



Vertices of the transition graph

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Graph-theoretic formulation of the reconfiguration problem

- Discretize the two tracks, subdividing each into finitely many edges. The result is the **workspace graph** \mathcal{W} .
- **2** Construct the **transition graph** \mathcal{T} .
 - Records allowable configurations/states and allowable transitions between states

Vertices of the transition graph

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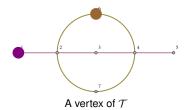
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Graph-theoretic formulation of the reconfiguration problem

- Discretize the two tracks, subdividing each into finitely many edges. The result is the **workspace graph** \mathcal{W} .
- **2** Construct the **transition graph** \mathcal{T} .
 - The vertices of \mathcal{T} are states of the system, represented as labelings of $Vert(\mathcal{W})$.
 - In our example, each vertex of \mathcal{T} is a function $u: \{1, 2, 3, 4, 5, 6, 7\} \rightarrow \{o, \bullet, \bullet\}.$



Generators of a reconfigurable system

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■ Edges of \mathcal{T}

■ A set \mathcal{G} of pairs of inverse elementary moves is specified.

Such a pair is called a **generator**.

In our example, each elementary move slides one robot on its track to an unoccupied adjacent vertex.

A generator φ is defined by the following pair of moves:

If vertex 1 is occupied by ● and vertex 2 is unoccupied, move ● to vertex 2.

If vertex 2 is occupied by • and vertex 1 is unoccupied, move • to vertex 1.







Edges of the transition graph

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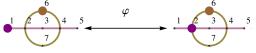
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■ Edges of T

■ Each generator φ is represented as a pair of labelings of a subset $\mathcal S$ of $\mathrm{Vert}(\mathcal W)$. To **apply** φ to a state u means to redefine u on $\mathcal S$, obtaining a new labeling

$$\varphi[u]: \{1,2,3,4,5,6,7\} \rightarrow \{\mathbf{0},\bullet,\bullet\}.$$

■ Two states $u, v \in \text{Vert}(\mathcal{T})$ are joined by an edge in \mathcal{T} if some generator $\varphi \in \mathcal{G}$ toggles the system between states u and v.



 A collection of states that is closed under the application of all generators is an (abstract) reconfigurable system.

Transition graph

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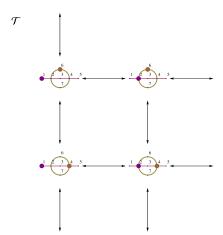
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The transition graph \mathcal{T} is analogous to the Cayley graph of a group, but need not be homogeneous: some generators may not be applicable to some states.



Graph-theoretic formulation of the reconfiguration problem

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Graph-theoretic formulation of the reconfiguration problem

- Discretize the two tracks, subdividing each into finitely many edges. The result is the **workspace graph** \mathcal{W} .
- 2 Construct the transition graph \mathcal{T} .
- Find the shortest path from an initial state $u \in Vert(\mathcal{T})$ to the goal state $v \in Vert(\mathcal{T})$.

Such a path corresponds to a sequence of elementary moves that reconfigures the system from state u to state v.

Drawback of graph-theoretical formulation

- \blacksquare A shortest path in ${\mathcal T}$ need not be an efficient reconfiguration strategy
- Transition graph does not encode information about which moves can be applied concurrently

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Graph-theoretic formulation of the reconfiguration problem

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Drawback of graph-theoretical formulation

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Geometric formulation of the reconfiguration problem

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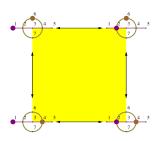
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Use cubes to encode concurrency

- We say that *k* generators **commute** at a state *u* if they can be applied to *u* simultaneously, and if the resulting configuration is independent of the order in which they are applied.
- Wherever the 1-skeleton $Q^{(1)}$ of a k-cube appears in \mathcal{T} , attach a k-cube if for each vertex u of $Q^{(1)}$, the generators corresponding to the edges incident with u commute at u.

Geometric formulation of the reconfiguration problem

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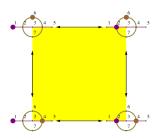
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By attaching cubes to the transition graph as described, the configuration space of a reconfigurable system is realized as a cube complex called the **state complex**.

The state complex of a reconfigurable system

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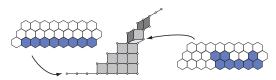
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State complex for a metamorphic robotic system composed of pivoting hexagonal tiles (Ghrist-Peterson, 2007)

- Interior points of a cube are intermediate stages of a transition between states.
- A path along a *k*-cube's diagonal represents the simultaneous application of the *k* commuting generators corresponding to the *k* parallelism classes of the cube's edges.
- A path from *u* to *v* in the state complex determines a strategy for reconfiguring the system from state *u* to state *v*.

The state complex of a reconfigurable system

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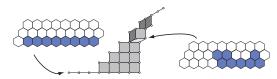
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State complex for a metamorphic robotic system composed of pivoting hexagonal tiles (Ghrist-Peterson, 2007)

(Ghrist, 2002): The state complex of a reconfigurable system is a nonpositively curved cube complex.

When u and v are fixed, efficient algorithms exist for finding the shortest path between them.

- (Ardila-Owen-Sullivant, 2011): General nonpositively curved cube complex
- (Chepoi-Maftuleac, 2012): Nonpositively curved rectangular complexes

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But in a real world environment, changing circumstances in the physical workspace may intervene to make a reconfiguration strategy that is already in progress impossible to complete.

- Suppose a goal state has been prescribed, but an obstruction prevents us from attaining it. A new goal state in the state complex may then be prescribed.
- It is inefficient to bring the system to a halt whenever a new strategy is prescribed, and impractical to instantaneously follow the new strategy without stopping.
- We therefore seek a solution to the problem of finding a shortest path in the state complex with a given initial direction.
- In order to limit the stress placed on the system's physical components, we impose a bound on the path's curvature.

Before we give a formal statement of our central problem, we will briefly review the definition of a *nonpositively curved geodesic space*...

Nonpositive curvature

Comparison triangles

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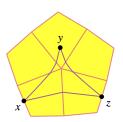
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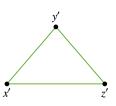
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(LEFT:) A geodesic triangle Δxyz in a square complex, and (RIGHT:) a comparison triangle in \mathbb{R}^2 for Δxyz

A metric space (X, d) is a **geodesic space** if every $x, y \in X$ can be joined by a path in X of length $\ell = d(x, y)$, called a **geodesic**.

 \blacksquare A geodesic from x to y will be denoted by [xy].

Let x, y, z be three distinct points in a geodesic space. Then

$$\Delta xyz := [xy] \cup [yz] \cup [zx]$$

is a **geodesic triangle**, and a **comparison triangle** $\Delta x'y'z'$ for Δxyz is a triangle in the Euclidean plane with corresponding sides equal in length.

Nonpositive curvature

Thin triangles

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A geodesic triangle Δxyz is **thin** if the distance between any two points on Δxyz is no larger than the distance between the corresponding points on a comparison triangle:

$$d(p,q) \leqslant d(p',q').$$

A geodesic space X is

- **nonpositively curved (NPC)** at a point *w* if all geodesic triangles sufficiently near *w* are thin,
- nonpositively curved if X is nonpositively curved at every point,
- CAT(0) if X is simply connected and nonpositively curved.

Nonpositive curvature

Nonpositively curved square complexes

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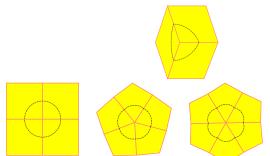
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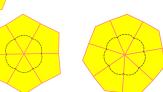
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The square complex obtained by arranging d copies of the unit square $[0,1] \times [0,1]$ cyclically around a central vertex is nonpositively curved if $d \ge 4$.





A positively curved (top row) and some nonpositively curved (bottom row) piecewise Euclidean square complexes

Boundaries of metric balls (dashed) about center vertex are unions of arcs of Euclidean circles

Central problem: Markov-Dubins problem with free terminal direction in a NPC cube complex

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Markov-Dubins problem with free terminal direction

Let $\kappa > 0$. Given initial and terminal positions u and v in a nonpositively curved cube complex X, find the shortest unit-speed path γ in X from u to v such that

- $ightharpoonup \gamma$ has prescribed initial direction $U \in link(u)$,
- $|\gamma''| \leqslant \kappa$ a.e. in local coordinates, and
- lacksquare γ is smooth (has turning angle 0) at breakpoints.

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Existence theorem

Preliminary:

Define a collection $\mathcal C$ of "admissible" unit-speed paths γ so that admissible paths satisfy the boundary conditions (u,U,v) and the curvature constraint with $\kappa>0$.

- Then show that $C \neq \emptyset \Longrightarrow C$ contains a shortest path.
- How should we define "admissible"?
 - \blacksquare Minimally, want \mathcal{C}^{+} in local coordinates
 - Twice-differentiable in local coordinates, with curvature $|\gamma''| \leq \kappa$?

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 - lacktriangle Minimally, want \mathcal{C}^1 in local coordinates
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 - Minimally, want C^1 in local coordinates
 - Twice-differentiable in local coordinates, with curvature $|\gamma''| \le \kappa$? X
 - (Dubins, 1957): For certain choices of $\kappa > 0$, $U \in S^1$, and $u, v \in \mathbb{R}^2$, the collection \mathcal{D} of twice-differentiable unit-speed paths $\gamma : [a, b_{\gamma}] \to \mathbb{R}^2$ with

$$\gamma(a) = u, \quad \gamma'(a) = U, \quad \gamma(b_{\gamma}) = v, \quad |\gamma''| \leqslant \kappa$$

does not contain an element of minimum length...

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Admissible paths

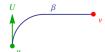
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$$\gamma(a) = u$$
, $\gamma'(a) = U$, $\gamma(b_{\gamma}) = v$, $|\gamma''| \le \kappa$

does not contain an element of minimum length.

But for any choice of $\kappa > 0$, u, U, and v, there exists a \mathcal{C}^1 and piecewise twice-differentiable path β with length $\ell(\beta) = \inf_{\mathbf{c} \in \mathcal{D}} \ell(\mathbf{c}).$

Example:



Pick

$$\kappa = 1$$
, $u = (0,0)$, $U = (0,1)$, $v = (3,1)$,

and let β be the shortest CL path in \mathbb{R}^2 satisfying these boundary conditions and curvature bound.

■ A **CL path** is the C^1 concatenation of a circular arc and a line segment.

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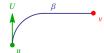
Stacks and scaffolds Small Block condition ■ (Dubins, 1957): For certain choices of $\kappa > 0$, $U \in S^1$, and $u, v \in \mathbb{R}^2$, the collection \mathcal{D} of twice-differentiable unit-speed paths $\gamma : [a, b_\gamma] \to \mathbb{R}^2$ with

$$\gamma(a) = u$$
, $\gamma'(a) = U$, $\gamma(b_{\gamma}) = v$, $|\gamma''| \le \kappa$

does not contain an element of minimum length.

But for any choice of $\kappa > 0$, u, U, and v, there exists a \mathcal{C}^1 and piecewise twice-differentiable path β with length $\ell(\beta) = \inf_{c \in \mathcal{D}} \ell(c)$.

Example:



Then

- Every $\gamma \in \mathcal{D}$ has $\ell(\gamma) > \ell(\beta)$.
- $\blacksquare \ \, \text{For any} \,\, \varepsilon > 0, \, \text{there exists} \,\, \gamma \in \mathcal{D} \,\, \text{with} \,\, \ell(\beta) < \ell(\gamma) < \ell(\beta) + \varepsilon.$

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How should we define "admissible"?

- \blacksquare \mathcal{C}^1 in local coordinates, with κ -Lipschitz derivative
 - Rules out abrupt changes in direction which would put stress on moving parts of the system
 - Permits CL paths
 - Can use Dubins' characterization of optimal paths to describe optimal paths contained in a cell

We now define *piecewise-Lipschitz differentiability* for curves in a cube complex.

Piecewise Lipschitz-differentiable curves in a cube complex

Markov-Dubins in a NPC cube complex

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Admissible paths

Let $\gamma: [a,b] \to X$ be a path in a cube complex X, and let

$$a = t_1 < t_2 < \cdots < t_m = b$$

be a partition of [a, b]. A sequence $(Q_k)_{k=1}^{m-1}$ of cells in X is a **cube path** for γ with breakpoints $(t_k)_{k=1}^m$ if

$$\gamma([t_k, t_{k+1}]) \subset Q_k, \qquad Q_k \not\subset Q_{k+1}, \qquad Q_k \not\supset Q_{k+1}.$$

$$Q_k \subset Q_{k+1}$$

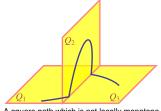
$$Q_k \Rightarrow Q_{k+1}$$

A cube path for a curve $\gamma : [a, \infty) \to X$ is defined similarly, taking $m = \infty$. We call each

$$\gamma_k := \gamma \big|_{[t_k, t_{k+1}]}$$

a **segment** of γ .

- Note $\gamma(t_k) \in Q_{k-1} \cap Q_k$
- **edgewise** cube path: each $Q_k \cap Q_{k+1}$ is an edge
- locally monotone square path: $Q_{k-1} \cap Q_k \neq Q_k \cap Q_{k+1}$ for each suitable k, and $\dim Q_k = 2$ for all k



A square path which is not locally monotone

Piecewise Lipschitz-differentiable curves in a cube complex

Markov-Dubins in a NPC cube complex

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A path in \mathbb{R}^N is κ - $\mathcal{C}^{1,1}$ (or κ -Lipschitz differentiable) if its derivative exists and is κ -Lipschitz.

Let X be a cube complex, let $\kappa > 0$, and let $M \in \mathbb{N}$ $(M \ge 2)$. A path

$$\gamma: [a,b] \to X$$

is κ - $\mathcal{C}^{1,1}(M)$ (or κ -Lipschitz differentiable with at most M breakpoints) if there exists a cube path $(Q_k)_{k=1}^{m-1}$ for γ with breakpoints

$$a = t_1 < t_2 < \cdots < t_m = b \quad (m \leqslant M)$$

such that each segment

$$\gamma_k:[t_k,t_{k+1}]\to Q_k$$

is κ - $\mathcal{C}^{1,1}$ in coordinates.

Length-minimal element of $C(\kappa, M, u, v, U)$

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Let *X* be a nonpositively curved locally finite cube complex. Fix

- \blacksquare $u, v \text{ in } X \text{ such that } u \neq v,$
- a unit tangent vector U to $p(C_{\lambda})$ at $p_{\lambda}(u)$ for some λ ,
- \blacksquare $\kappa > 0$, and
- $M \in \mathbb{N} \ (n \geqslant 2)$.

Let

$$C(\kappa, M, u, v, U)$$

be the set of $\kappa\text{-}\mathcal{C}^{1,1}(M)$ unit-speed paths $\gamma:[a,b_\gamma]\to X$ with

$$\gamma(a) = u, \quad \gamma(b_{\gamma}) = v, \quad \gamma'(a) = U.$$

Theorem

If $C = C(\kappa, M, u, v, U)$ is nonempty, then C contains a path β of minimal length among all paths in C.

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The proof of the theorem uses only advanced calculus and Arzela-Ascoli. We begin with a sequence of admissible paths $\gamma_n \in \mathcal{C}$ such that

$$\ell(\gamma_n) \searrow \inf_{c \in \mathcal{C}} \ell(c),$$

and repeatedly pass to subsequences so that

- there is a single cube path $(Q_k)_{k=1}^{\infty}$ with breakpoints $(t_k)_{k=1}^{\infty}$ for all γ_n , and
- for each k, each of $(\gamma_n|_{[t_k,t_{k+1}]})_{n=1}^{\infty}, (\gamma_n'|_{[t_k,t_{k+1}]})_{n=1}^{\infty}$ converge.

We then show that the uniform limit γ of the γ_n is in \mathcal{C} .

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Smoothness at breakpoints

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- $\blacksquare \text{ for each } k \text{, each of } \left(\gamma_n \big|_{\lceil t_k, t_{k+1} \rceil} \right)_{n=1}^{\infty}, \left(\gamma_n' \big|_{\lceil t_k, t_{k+1} \rceil} \right)_{n=1}^{\infty} \text{ converge.}$

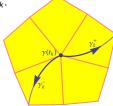
We then show that the uniform limit γ of the γ_n is in \mathcal{C} .

More is needed to prove the existence of a solution to the Markov-Dubins problem: we want our paths to have zero turning angle

$$\pi - \angle (\gamma_k^-, \gamma_k^+) \in [0, \pi]$$

$$\pi - \angle \left(\gamma_k^-, \, \gamma_k^+ \right) \in [0, \pi], \qquad \qquad \gamma_k^- := \overline{\gamma|_{(t_k - \varepsilon, t_k]}}, \qquad \gamma_k^+ := \gamma|_{[t_k, t_k + \varepsilon)},$$

for each interior breakpoint t_k .



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Stacks and scaffolds Small Block condition The proof of the theorem uses only advanced calculus and Arzela-Ascoli. We begin with a sequence of admissible paths $\gamma_n \in \mathcal{C}$ such that

$$\ell(\gamma_n) \searrow \inf_{c \in \mathcal{C}} \ell(c),$$

and repeatedly pass to subsequences so that

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- $\blacksquare \text{ for each } k \text{, each of } \left(\gamma_n \big|_{[t_k,t_{k+1}]} \right)_{n=1}^{\infty}, \left(\gamma_n' \big|_{[t_k,t_{k+1}]} \right)_{n=1}^{\infty} \text{ converge.}$

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$$\pi - \angle \left(\gamma_k^-, \ \gamma_k^+ \right) \in [0, \pi], \qquad \qquad \gamma_k^- := \overline{\gamma\big|_{(t_k - \varepsilon, t_k]}}, \qquad \gamma_k^+ := \gamma\big|_{[t_k, t_k + \varepsilon)},$$

for each interior breakpoint t_k .

- The property of having zero turning angle at breakpoints is preserved when passing to the limit.
- We carry out the same argument as for the previous theorem, but this time, we'll require that admissible paths have zero turning angle at interior breakpoints.

Existence result for Markov-Dubins problem with free terminal direction

X

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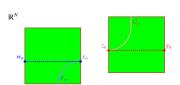
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Some technical issues and their solutions:

$\lim_{n\to\infty}\ell(\gamma_k^n)\neq 0$	Construct geodesics with same directions
$\lim_{n\to\infty}\ell(\gamma_{k+1}^n)\neq 0$	as subarcs (<i>above fig.</i>) and use u.s.c. of \angle
$\lim_{n\to\infty}\ell(\gamma_1^n*\cdots*\gamma_k^n)=0$	Reparametrize and delete
$n\rightarrow \infty$	$(Q_i)_{i=1}^k$ from cube path
$\lim_{n\to\infty}\ell(\gamma_k^n)\neq 0$	Total curvature is lower semicontinuous,
$\lim_{n\to\infty}\ell(\gamma_{k+1}^n)=0$	$\therefore \tau_k + \tau_{k+2} = \lim_n \tau^n[t_k, t_{k+3}] \geqslant \tau[t_k, t_{k+3}]$
$\lim_{n\to\infty}\ell(\gamma_{k+2}^n)\neq 0$	$= \tau_k + \angle(\bar{\gamma}_k, \gamma_{k+2}) + \tau_{k+2}, \therefore \angle(\bar{\gamma}_k, \gamma_{k+2}) = 0.$
$\lim_{n\to\infty}\ell(\gamma_k^n*\cdots*\gamma_m^n)=0$	Reparametrize and delete
<i>11</i> →∞	$(Q_i)_{i=k}^{m-1}$ from cube path

Here
$$\gamma_k^n = \gamma_n \big|_{[t_k, t_{k+1}]}$$
.

Existence result for Markov-Dubins problem with free terminal direction

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We say a κ - $\mathcal{C}^{1,1}(M)$ path γ is **smooth at breakpoints** if there exists a cube path $(Q_k)_{k=1}^{m-1}$ $(m \leq M)$ for γ with breakpoints $(t_k)_{k=1}^m$ such that γ has zero turning angle at $\gamma(t_k)$ for 1 < k < m.

For κ , M, u, v, U as above, write

$$\begin{split} \mathcal{C}_0 &= \mathcal{C}_0(\kappa, \textit{M}, \textit{u}, \textit{v}, \textit{U}) \\ &= \{ \gamma \in \mathcal{C}(\kappa, \textit{M}, \textit{u}, \textit{v}, \textit{U}) : \gamma \text{ is smooth at breakpoints} \}. \end{split}$$

Theorem

If C_0 is nonempty, then C_0 contains a path β of minimal length among all paths in C_0 .

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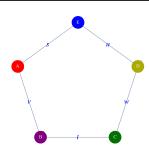
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We will now outline an algorithm for numerically finding the shortest CL path between two points with prescribed initial direction in a NPC square complex.

- Markov found that the solution to the Markov-Dubins problem with free terminal direction in \mathbb{R}^2 always exists and is a CL path.
- In a NPC square complex, an optimal CL path with prescribed boundary conditions u, U, v and curvature bound $\kappa > 0$ need not exist, but if it does, our algorithm will find it.

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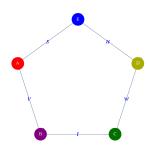
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The particular square complex we'll use to visualize the algorithm arises from the reconfigurable system defined as follows.

- Five distinctly labeled checkers are placed at the vertices of a pentagon.
- The generators are transpositions of the checkers on an edge.
- Generators commute iff the corresponding edges are disjoint, and no set of 3 edges is disjoint.

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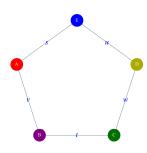
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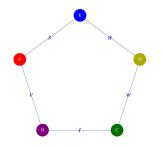
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- Thus each vertex in the state complex is incident with exactly five squares arranged cyclically.
- The transition graph is the Cayley graph of the right-angled Coxeter system

$$S = \{s, t, u, v, w\},\$$

$$W = \langle S \, | \, s^2 = t^2 = u^2 = v^2 = w^2 = (st)^2 = (tu)^2 = (uv)^2 = (vw)^2 = (ws)^2 = 1 \rangle.$$

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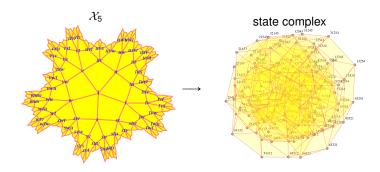
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■ The state complex is a closed orientable surface of genus 16, whose universal cover is the Davis complex of (W, S).



■ The universal cover of the state complex is a space we call the **5-plane** \mathcal{X}_5 .

Definition of the d-plane \mathcal{X}_d

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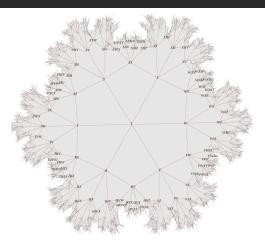
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Definition. The *d*-plane \mathcal{X}_d ($d \ge 4$) is a simply connected surface without boundary that is a piecewise Euclidean square complex with a *d*-regular graph as its 1-skeleton.

$$\blacksquare$$
 $\mathbb{E}^2 := \mathcal{X}_4$.

Fault handling is a Markov-Dubins problem

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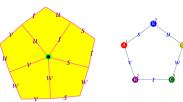
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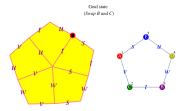
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For an illustration of the problem, suppose the system begins in a given state,



and a goal state is given...



Fault handling is a Markov-Dubins problem

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 \ldots but a new goal state is prescribed before the original goal state has been attained.

At the instant when the new goal state is prescribed, we have a Markov-Dubins problem with prescribed initial position, initial direction, and terminal position.

To find CL paths in a NPC square complex, we will need an algorithm for finding geodesic paths.

Chepoi-Maftuleac unfolding

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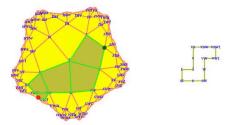
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Chepoi and Maftuleac's algorithm for finding geodesics depends on the following result.

Theorem (Chepoi-Maftuleac, 2012)

The geodesic between two points u, v of a CAT(0) square complex X lies in a subcomplex K of X which is isometric to a monotone planar polygon. Moreover, K depends only on the choice of 2-cells containing u and v.

■ This result reduces the problem of finding shortest paths in X to that of finding shortest paths in planar polygons: no shortcut from u to v is possible by leaving K.



Here, a polygon P is **monotone** if every axis-aligned line segment with endpoints in P is contained in P.

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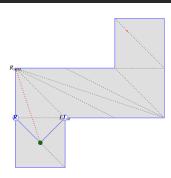
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Many algorithms for finding shortest paths in a monotone polygon exist. We use the classic "funnel algorithm" of Lee and Preparata.

- A monotone polygon P can be triangulated by edges E_i with endpoints on the boundary of P
- The dual graph of the triangulation is a path
- The path determines an ordering of edges E_i that starts with the base of a triangle containing the initial point u (green dot) and ends with the base of a triangle containing the terminal point v (red dot)

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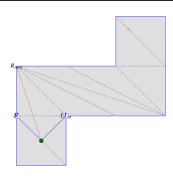
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- The algorithm begins with a "funnel" F with legs [uL] and [uR] (blue), whose apex is the initial point u, where [LR] is an edge of a triangle containing u
- The funnel F consists of the cone of lines of sight between [uL] and [uR] from u to the boundary of P
- If the terminal point v lies in the funnel F, we are done: [uv] lies in P
- If not, we look at the next edge E'. If the resulting funnel is contained in the current funnel F, we accept E' as the base of the funnel F' for the next step

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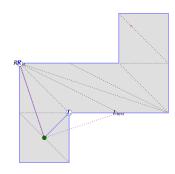
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■ If the next edge E' determines a funnel not contained in the current funnel, we reject it, set F' = F, and move to the next edge

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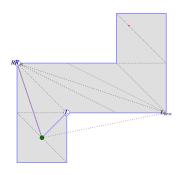
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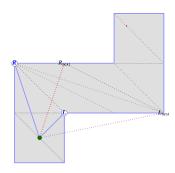
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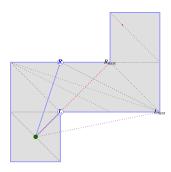
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If a leg of the funnel determined by the next edge crosses over or meets the opposite leg of the current funnel F, we have found a segment of the desired shortest path [uv]

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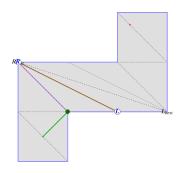
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■ We then reset u (green dot), choose a new initial edge E (brown) of the triangulation, and repeat the process, halting if v lies in the current funnel or if we have reached the final interior edge of the triangulation

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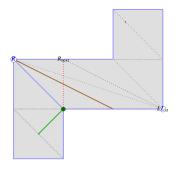
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If the resulting funnel is contained in the current funnel F, we accept E' as the base of the funnel F' for the next step

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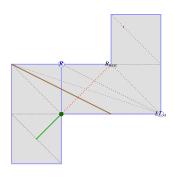
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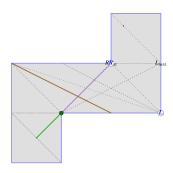
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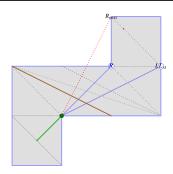
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- Halt: we have reached the final interior edge of the triangulation
- The final segment begins with the current apex if *v* lies in the current funnel *F*, and begins with *L* or *R* (whichever is closer to *v*) if outside *F*

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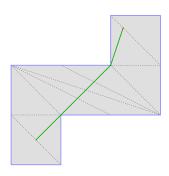
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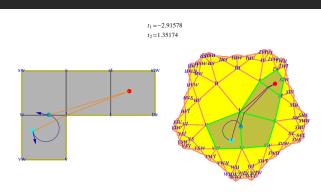
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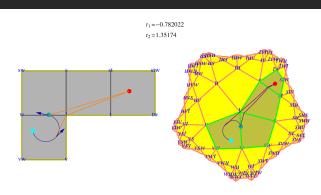


We determine the CL paths from u to v with initial direction U by the bisection method.

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The directed angle Θ between a tangent to the initial arc, and the geodesic from the foot of the tangent to v, is a continuous function...

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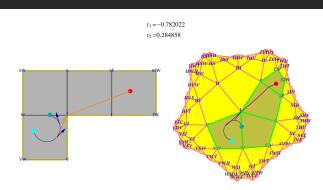
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The directed angle Θ between a tangent to the initial arc, and the geodesic from the foot of the tangent to v, is a continuous function.

The zeroes of Θ correspond to CL paths from u to v.

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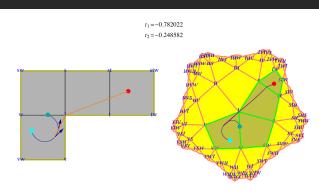
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All CL paths from u to v with length less than some prescribed maximal length L can be found by finitely many applications of the bisection method.

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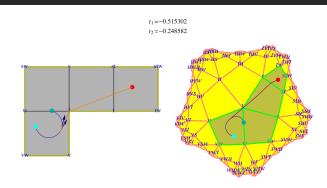
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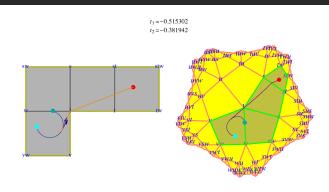
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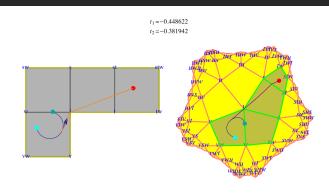
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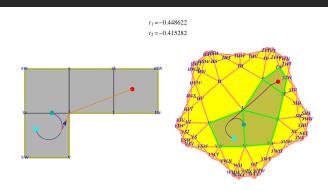
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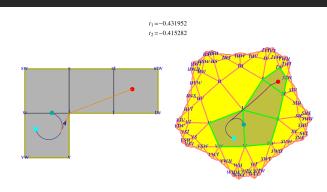
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Determination of CL paths with the bisection method

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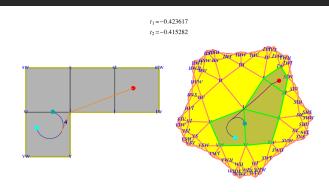
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Proper rays of constant curvature in

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In the *d*-plane ($d \ge 5$), a CL path between two points with given initial direction and curvature constant $\kappa > 0$ need not exist.

To see why, we must look at the behavior of rays of constant curvature in \mathcal{X}_d

- By a (topological) ray in a space X, we mean a map of a half-line into X.
- Not necessarily embedded or geodesic

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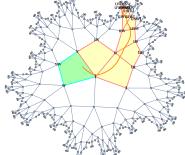
Stacks and scaffol Small Block condit In the *d*-plane ($d \ge 5$), a CL path between two points with given initial direction and curvature constant $\kappa > 0$ need not exist.

To see why, we must look at the behavior of rays of constant curvature in \mathcal{X}_d

- \blacksquare By a **(topological) ray** in a space X, we mean a map of a half-line into X.
- Not necessarily embedded or geodesic

A ray in the *d*-plane which does not turn sharply enough cannot return to its

starting point:



Classifying rays of constant curvature in \mathcal{X}_d

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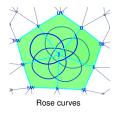
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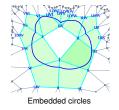
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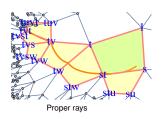
Numerical experiments reveal three possibilities for a ray

$$\gamma: [a, \infty) \to \mathcal{X}_d^* := \mathcal{X}_d \setminus \mathsf{Vert}(\mathcal{X}_d)$$

of constant curvature $\kappa > 0...$







... whose behavior is not a function of κ when $d \ge 5$.

- A ray $[a, \infty) \to \mathcal{H}^2$ of constant curvature κ is proper if $\kappa \leq 1$, or a circle if $\kappa > 1$. Similarly for \mathbb{R}^2 .
- $\exists \gamma_i : [a, \infty) \to \mathcal{X}_5$ of constant curvature $\kappa_i > 0$ (i = 1, 2) with γ_1 a circle and γ_2 a proper ray, while $0.895 = \kappa_1 < \kappa_2 = 0.968$.

Inaccessibility and properness

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Stacks and scaffolds

We can identify regions of the d-plane which are inaccessible by a CL path γ if the initial arc of γ is a subarc of a *proper* ray.

- A map is **proper** if the preimage of every compact set is compact.
- A ray $\gamma : [a, \infty) \to X$ eventually never returns to a subset S of X if for some t > a,

$$S \cap \gamma([t,\infty)) = \emptyset,$$

and $\gamma(s) \in S$ for some s < t.

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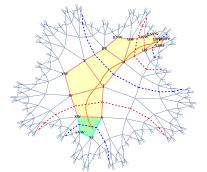
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Stacks and scaffold Small Block condition We can identify regions of the d-plane which are inaccessible by a CL path γ if the initial arc of γ is a subarc of a *proper* ray.

■ A ray $\gamma : [a, \infty) \to X$ eventually never returns to a subset S of X if for some t > a,

$$\mathcal{S} \cap \gamma \big([t,\infty)\big) = \varnothing,$$
 and $\gamma(s) \in \mathcal{S}$ for some $s < t$.



Rough idea: To show a ray in \mathcal{X}_d is proper, construct a sequence of nested halfspaces H_n^- such that γ eventually never returns to each halfspace.

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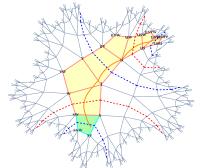
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Stacks and scaffold Small Block condition We can identify regions of the d-plane which are inaccessible by a CL path γ if the initial arc of γ is a subarc of a *proper* ray.

■ A ray γ : $[a, \infty) \rightarrow X$ eventually never returns to a subset S of X if for some t > a,

$$S \cap \gamma ig([t, \infty) ig) = \varnothing,$$
 and $\gamma(s) \in S$ for some $s < t$.

■ A ray is proper it eventually never returns to each cell it meets.



Formally: Construct a sequence of successively osculating hyperplanes, called a stack, with respect to which the ray is properly segmented.

(Definitions to follow.)

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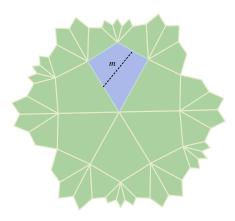
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A **midplane** of a 2-cell is a geodesic segment joining the midpoints of its opposite sides.

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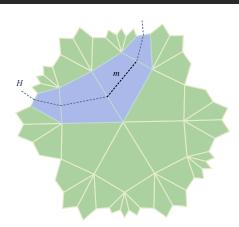
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Two such midplanes m, m' are **equivalent** if their intersection is the midpoint of some edge.

The equivalence class of a midplane under the transitive closure of this relation is a **(1-dimensional) hyperplane**.

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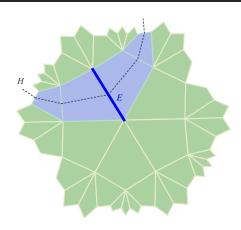
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A hyperplane *H* is **dual** to an edge *E* if some midplane in *H* intersects *E*.

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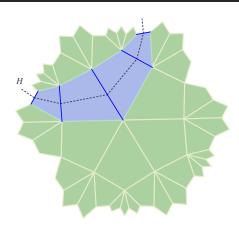
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The hyperplanes determine a partition of Edges(X) into parallelism classes.

Osculating hyperplanes

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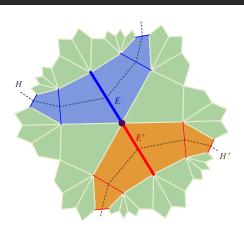
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Let H and H' be hyperplanes of a cube complex X. We say H and H' **osculate**, and write $H \cap H'$, if there exist adjacent edges E and E' of X such that H is dual to E, H' is dual to E', and E and E' are not both contained in any 2-cell.

Osculating hyperplanes

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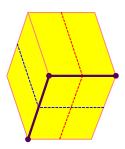
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Let H and H' be hyperplanes of a cube complex X. We say H and H' **osculate**, and write $H \cap H'$, if there exist adjacent edges E and E' of X such that H is dual to E, H' is dual to E', and E and E' are not both contained in any 2-cell.

Counterexample: If the edges E and E' in the definition are adjacent sides of some square cell Q, then H and H' must meet in Q.

Markov-Dubins in a NPC cube complex

Stacks and scaffolds

A **stack** in a cube complex X is a sequence $(H_n)_{n=1}^{\infty}$ of successively osculating hyperplanes, $H_n \setminus H_{n+1}$.

We would like the hyperplanes in a stack to satisfy two properties:

- Each hyperplane should divide the space (which in our case, is homeomorphic to \mathbb{R}^2) into two disjoint halfspaces.
- The hyperplanes should define two sequences of nested halfspaces, a "backward" sequence nested from smaller to larger, and a "forward" sequence nested from larger to smaller.

If the hyperplanes of a stack satisfy these two properties, we call it an *oriented* stack.

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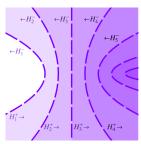
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Stacks and scaffolds

A **stack** in a cube complex X is a sequence $(H_n)_{n=1}^{\infty}$ of successively osculating hyperplanes, H_n if H_{n+1} .

A stack $(H_n)_{n=1}^{\infty}$ is **oriented** if

- $X \setminus H_n$ has two connected components for each n, and
- if the components H_n^{\pm} of $X \setminus H_n$ are labeled so that $H_n^- \subset H_{n+1}^-$ and $H_n^+ \supset H_{n+1}^+$ for each n.



Schematic diagram of an oriented stack of hyperplanes (dashed lines)

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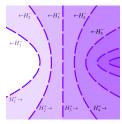
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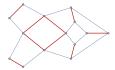
Stacks and scaffolds

But in an arbitrary square complex, osculating hyperplanes need not behave as nicely as our diagram suggests.



We can easily construct square complexes in which

■ a hyperplane crosses itself (edges dual to hyperplane shown in red)



 $\#\{\text{components of complement}\} \neq 2$

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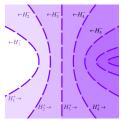
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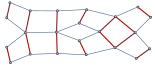
Stacks and scaffolds

But in an arbitrary square complex, osculating hyperplanes need not behave as nicely as our diagram suggests.



We can easily construct square complexes in which

■ two osculating hyperplanes intersect (dual edges highlighted)



halfspaces not nested

\mathcal{X}_d is an A-special cube complex

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Lemma

Let $\mathcal{H} = (H_n)_{n=1}^{\infty}$ be a stack of hyperplanes in \mathcal{X}_d $(d \ge 4)$. Then H can be oriented.

Proof (sketch). \mathcal{X}_d is the Davis complex of a RACS, hence a CAT(0) cube complex, hence A-special. Then osculating hyperplanes do not intersect. The complement in \mathcal{X}_d of each hyperplane has two components, and by a connectedness argument, \mathcal{H} can be oriented.

Showing a ray is proper using osculating hyperplanes

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Lemma

A ray $\gamma: [a, \infty) \to \mathcal{X}_d$ is proper if for some

$$a \leqslant t_1 < t_2 < t_3 < \cdots$$

and some oriented stack $(H_n)_{n=1}^{\infty}$ of hyperplanes, we have

$$\gamma([t_n,\infty))\subset H_n^+.$$

This Lemma gives a sufficient condition for a ray to be proper.

- The condition is simple, but impractical
- Even for a geodesic path, finding the breakpoints can only be done iteratively
- (A-O-S): The breakpoints of a geodesic have no closed form analytic description
- We need a systematic procedure for building an infinite stack in the *d*-plane
- Rather than focusing on the intersection of the ray with infinitely many hyperplanes, we analyze the square path for the ray, which has an easily described combinatorial structure

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Theorem

A ray γ in \mathcal{X}_d is proper if it has an edgewise square path that is properly segmented with respect to some oriented stack of hyperplanes.

We now define *properly segmented* for a square path, rather than for a ray...

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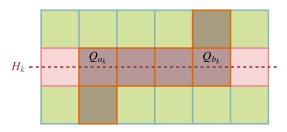
Stacks and scaffolds

Theorem

A ray γ in \mathcal{X}_d is proper if it has an edgewise square path that is properly segmented with respect to some oriented stack of hyperplanes.

An edgewise square path $(Q_n)_{n=1}^{\infty}$ is **properly segmented** by an oriented stack $(H_k)_{k=1}^{\infty}$ if there exist $1 \le a_1 \le b_1 < a_2 \le b_2 < \cdots$ such that for each $k \in \mathbb{N}$,

(1)
$$\bigcup_{n=a_k}^{b_k} Q_n \subset Carrier(H_k)$$
,



The carrier of a subset A of a cube complex X is the smallest subcomplex of X containing A.

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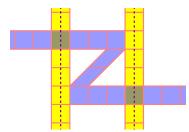
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- (1) $\bigcup_{n=a_k}^{b_k} Q_n \subset \operatorname{Carrier}(H_k)$,
- (2) $\bigcup_{n=b_k+1}^{a_{k+1}-1} Q_n \subset X \setminus (H_k \cup H_{k+1}),$



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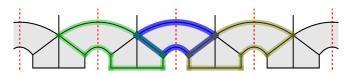
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- (1) $\bigcup_{n=a_k}^{b_k} Q_n \subset \operatorname{Carrier}(H_k)$,
- (2) $\bigcup_{n=b_k+1}^{a_{k+1}-1} Q_n \subset X \setminus (H_k \cup H_{k+1})$, and
- (3) $\bigcup_{n=a_{k-1}}^{a_k-1} Q_n$ and $\bigcup_{n=b_k+1}^{b_{k+1}} Q_n$ meet distinct components of $(\bigcup_{n=a_k}^{b_k} Q_n) \setminus H_k$.



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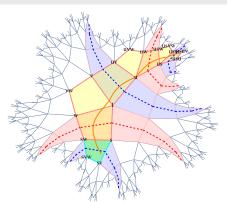
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Theorem

A ray γ in \mathcal{X}_d is proper if it has an edgewise square path that is properly segmented with respect to some oriented stack of hyperplanes.



Given a square path Q for a ray of constant curvature, how do we build a stack of hyperplanes with respect to which Q is properly segmented?

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■ Transfer a square path $Q = (Q_k)_{k=1}^{\infty}$ for γ in \mathcal{X}_d to \mathbb{E}^2 by continuation, keeping track of cell structure.

$$[a,\infty) \longrightarrow \mathcal{X}_c$$

Unfolding complex \mathcal{U} and folding map $\mathcal{U} \to \mathbb{E}^2$

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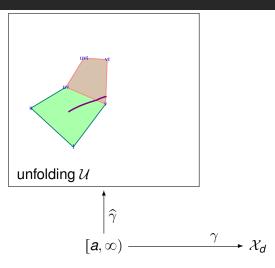
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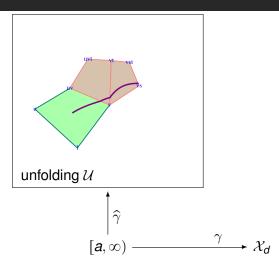
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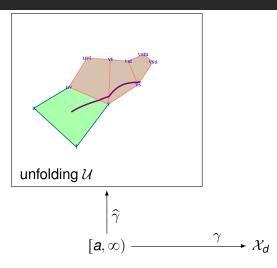
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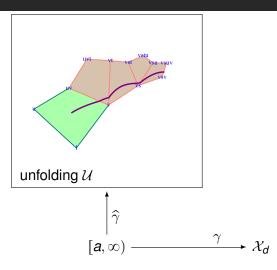
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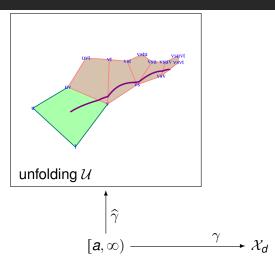
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Unfolding complex \mathcal{U} and folding map $\mathcal{U} \to \mathbb{E}^2$

Markov-Dubins in a NPC cube complex

Julie Carmela La Corte

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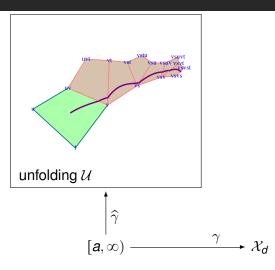
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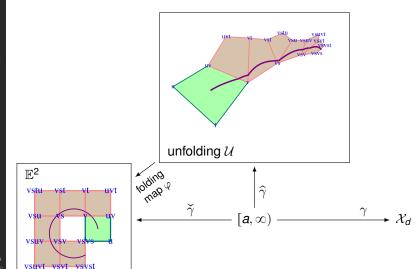
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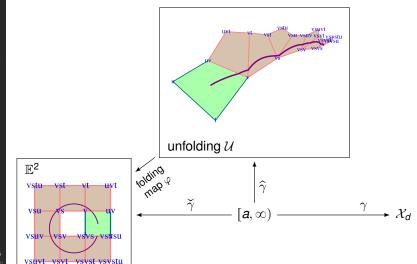
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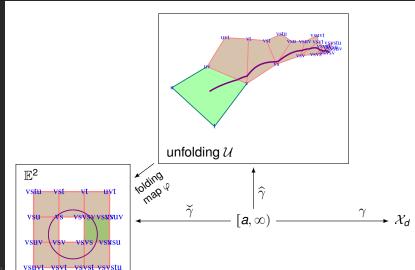
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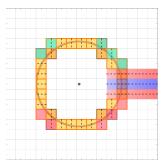
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Stacks and scaffolds Small Block condition

- Transfer a square path $Q = (Q_k)_{k=1}^{\infty}$ for γ in \mathcal{X}_d to \mathbb{E}^2 by continuation, keeping track of cell structure.
- The resulting ray $\check{\gamma}$ is a parametrized circle. Subdivide $\check{\gamma}$ into four arcs, each of which is properly segmented by a finite stack in \mathbb{E}^2 .



After identifying these four finite stacks in \mathbb{E}^2 , we have enough information to construct an infinite stack in \mathcal{X}_d with respect to which the square path of the original ray γ is properly segmented, and it follows that γ is proper.

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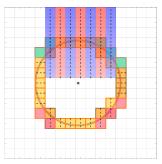
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We will transfer each of the four finite stacks into \mathcal{X}_d .

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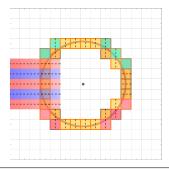
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■ The resulting ray $\check{\gamma}$ is a parametrized circle. Subdivide $\check{\gamma}$ into four arcs, each of which is properly segmented by a finite stack in \mathbb{E}^2 .



When transferring a stack from \mathbb{E}^2 to \mathcal{X}_d , choices must be made.

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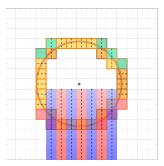
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The carriers of a pair of osculating hyperplanes of \mathbb{E}^2 meet along infinitely many pairs of incident 2-cells. . .

Transferring a stack

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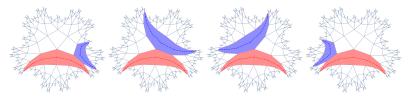
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- Transfer each stack in \mathbb{E}^2 to \mathcal{X}_d .



 \dots and each selection of such a pair determines a different pair of hyperplanes in $\mathcal{X}_{\text{d}}.$

We keep track of our choices using what we call a scaffold.

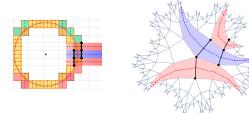
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- Transfer each stack in \mathbb{E}^2 to \mathcal{X}_d .



A scaffold is the minimal data needed to carry out the transfer of a stack between two square complexes in a controlled way.

It consists of a sequence of pairs of adjacent edges, respectively dual to each pair of successive hyperplanes in a stack.

Transferring a stack

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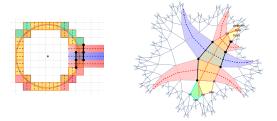
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- Transfer each stack in \mathbb{E}^2 to \mathcal{X}_d .



The original ray in \mathcal{X}_d is properly segmented by the resulting finite stack.

Infinite stack in \mathcal{X}_d

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- The resulting ray $\check{\gamma}$ is a parametrized circle. Subdivide $\check{\gamma}$ into four arcs, each of which is properly segmented by a finite stack in \mathbb{E}^2 .
- Transfer each stack in \mathbb{E}^2 to \mathcal{X}_d .
- Assemble the finite stacks in \mathcal{X}_d into an infinite sequence of successively osculating hyperplanes.







Finally, we assemble the sequence of finite stacks in the d-plane, obtained from the four finite stacks in \mathbb{E}^2 , into a single infinite stack with respect to which the given square path in \mathcal{X}_d is properly segmented.

Using the nonpositive curvature of \mathcal{X}_d , we can assemble the finite stacks into a single infinite stack if. . .

Infinite stack in \mathcal{X}_d

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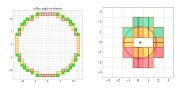
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- Transfer each stack in \mathbb{E}^2 to \mathcal{X}_d .
- Assemble the finite stacks in \mathcal{X}_d into an infinite sequence of successively osculating hyperplanes.



isElbowJoint[squareCenter_, indexInSquarePath_] :=
 (angle @@ neighborCenters[squareCenter, indexInSquarePath]) = π/2;

... we can find four suitable "reflex angles" in the interior component of the boundary of the square path in \mathbb{E}^2 .

This can always be done if the carrier of the circle in \mathbb{E}^2 is an annulus.

Sufficient condition for a ray in \mathcal{X}_d^* of constant curvature to be proper

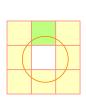
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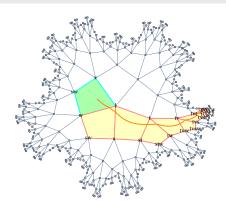
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Annulus Condition

Let $\gamma: [a, \infty) \to \mathcal{X}_d^* = \mathcal{X}_d \setminus \text{Vert}(\mathcal{X}_d)$ ($d \ge 5$) be a curve of constant curvature $\kappa > 0$. Let \mathcal{U} be an unfolding of a locally monotone edgewise square path Q in \mathcal{X}_d for γ . Let $\varphi: \mathcal{U} \to \mathbb{E}^2$ be a cellular local isometry.

If Image $\varphi \stackrel{homeo}{\approx} S^1 \times I$, then γ is a proper ray.





Characterization of curves of constant curvature $\kappa > 0$

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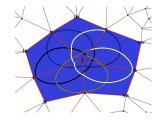
Small Block Condition

Let $\gamma:[a,\infty)\to\mathcal{X}_d^*$ $(d\geqslant 5)$ be a curve of constant curvature $\kappa>0$. Let \mathcal{U} be an unfolding of a locally monotone edgewise square path \mathcal{Q} in \mathcal{X}_d for γ . Let $\varphi:\mathcal{U}\to\mathbb{E}^2$ be a cellular local isometry.

If Image $\varphi \stackrel{isom}{\cong} [-1,1] \times [-1,1]$, then Image γ is either an embedded circle, or a rose curve made up of $M = \text{lcm}\{4,d\}$ arcs.







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